



Ph.D. Regulations

**(To be approved in the 1st Academic Council Meeting held on 05/06/2023
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1) Preamble

- 1.1) These regulations governing the Degree of Doctor of Philosophy (Ph.D.) have been framed with a view to fulfill the provisions in UGC (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degrees) Regulations, 2016, University Grants Commission (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degrees) (1st amendment) Regulations, 2018, University Grants Commission (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degrees) (2nd amendment) Regulations, 2018, University Grants Commission (Promotion of Academic Integrity and Prevention of Plagiarism in Higher Educational Institutions) Regulations, 2018 and University Grants Commission (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degrees) regulations, 2022. We also take into account the aim at ensuring a high standard for the Ph.D. conferment by the University.
- 1.2) Apex body like INC has prescribed their own Ph.D. Regulations that will be taken into consideration by the University.

2) Short Title and Commencement

- 2.1) These Regulations shall be called “Regulations for Doctor of Philosophy (Ph.D.) Degree Program, 2022” under VIDHYADEEP UNIVERSITY (VIDUNI), Anita. These Regulations have been framed in accordance with the University Grants Commission (Minimum Standards and Procedure for Award of Ph.D. Degree Regulations, 2022.)
- 2.2) The entire Ph.D. Program under various faculties of this university shall be for regular (Full/Part-time) research scholars only. The VIDUNI does not permit Ph.D. Program through Distance Learning mode.
- 2.3) These Regulations shall come into force from the date of approval of the Academic Council of the University.

3) Definitions

Unless the context otherwise requires, the following word and expressions shall have the following meanings:

- 3.1) "GB" means Governing Body
- 3.2) “Academic Council” means the Academic Council of the University.
- 3.3) "Website" means the website of the University www.vidhyadeepuni.ac.in
- 3.4) "UGC" means the University Grants Commission
- 3.5) “Candidate” means any person who satisfies the prescribed eligibility criteria as stated in Regulations 5.0 and who gets registered for the Ph.D. Degree Program.
- 3.6) “Foreign Candidate” means any person who is a foreign national with a valid foreign passport, satisfying the prescribed eligibility criteria and who gets registered for the Ph.D. Degree Program with a valid research visa.
- 3.7) "Coursework" means the courses prescribed as a part of the Ph.D. Program, which the candidate shall successfully complete as a pre- requisite.
- 3.8) "FN/PIO" means Foreign National/Person of Indian Origin in abbreviated form.

- 3.9) "Program" means Doctoral Program leading to the award of a Ph.D. Degree
- 3.10) "DRC" means Doctoral Research Committee
- 3.11) "DPC" means "Doctoral Progress Committee"; a Committee constituted by the University for each Ph.D. candidate/ Research Scholar to monitor the progress of his/her research work
- 3.12) "Research Supervisor" means a faculty member of the University or Scientist working at a recognized research center who has been recognized by the University to supervise the Ph.D. candidate/ Research Scholar.
- 3.13) "Co-supervisor" means the additional Research Supervisor who has been recognized by the University to Co-Supervise the Ph.D. candidate/ Research Scholar.
- 3.14) "Research Scholar" means any candidate admitted by the University either under the Full-Time or Part-Time category for pursuing research for the award of Ph.D. Degree after completion of Coursework.
- 3.15) "Research Centre" means a College/Institute and the like recognized by the University for the candidate/ Research Scholar to pursue Ph.D. Program in an approved discipline(s).
- 3.16) "University" means "VIDHYADEEP UNIVERSITY", Anita, Kim.
- 3.17) "Viva-Voce Board" means a committee of experts appointed by the University to conduct the final Viva-Voce on the Thesis submitted
- 3.18) "UGC-CARE List" means UGC-CARE Reference List of Quality Journals
- 3.19) "RPP" means research proposal presentation which is mandatory to be attended by all candidates seeking admission to the Ph.D. Program, as per schedule.
- 3.20) "Examiners" means the panel of examiners selected by the Director of Research and approved by the Academic Council for adjudicating/ evaluating the Ph.D. thesis.
- 3.21) "Degree" means the degree of Doctor of Philosophy (Ph.D.).
- 3.22) "Plagiarism" means the practice of taking someone else's work or idea and passing them as one's own.

4) Committees

4.1) The University shall constitute the following Committees for Ph.D. Program:

- 4.1.1) Doctoral Research Committee (DRC)
- 4.1.2) Doctoral Progress Committee (DPC)
- 4.1.3) Viva-Voce Board
- 4.1.4) Such other Boards/Committees, as may be required

4.2) Doctoral Research Committee (DRC)

- 4.2.1) Doctoral Research Committee (DRC) for every sub-discipline under each faculty to recommend / to take decision(s) as per the policy framed and the mechanism evolved by the Research Council as enshrined in Vidhyadeep University Regulations 2022.

4.2.2) Constitution of Doctoral Research Committee (DRC)

- A) Provost
- B) Dean of all Faculties (VIDUNI)
- C) Ph.D. Coordinator
- D) For inter disciplinary research, the Provost may appoint committee members on a case to case basis.
- E) The Provost (or a senior dean nominated by the Provost) shall be the Chairperson of the Research Committee and Senior Dean shall be convener.

4.2.3) Meeting of Doctoral Research Committees

- A) The DRC shall meet at least once in three months, or as often as it may be necessary.
- B) One weeks' notice shall ordinarily be given for each meeting, In special circumstances; a meeting may be convened with shorter notice. Under orders of the Chairperson, the Senior Dean appointed by the Provost as a convener shall issue the notice informing the day, date, time and venue of the meeting.
- C) The Ph.D. Coordinator shall prepare the agenda by inviting agenda items from the committee members with the approval of convener and also send the agenda to each member at least one week in advance of the meeting date. However, with shorter notice or no notice at all, the Chairperson may bring before the meeting any item considered by him as urgent, for discussion at any meeting of the Committee.
- D) Any item for inclusion of the agenda suggested by a member may be included with the approval of the convener.
- E) The minutes of the meeting shall be prepared by the Ph.D. Coordinator within one week after the meeting in co-ordination with convener and submit it to the Provost for the final approval. The minutes as approved shall be communicated to all the members of the Committee.
- F) The Ph.D. Coordinator shall, as early as possible, but not later than fifteen days from the date of approval of the minutes, initiate further action on resolutions passed at the meeting of the Committee.
- G) The minutes shall be in the custody of the convener and he/she shall preserve them till such time as the Governing Body directs.

4.2.4) Duties and Functions of DRC

- A) To give approval for Admit and register candidates leading to research degree.
- B) Obtain and maintain a database pertaining to admissions, registrations, reservation category, research supervision, research topic, payment of fees, etc.;



- C) Obtain and maintain database on Pre-Ph.D. examination, field work/lab work, publications, pre-submission seminars, submission, etc. related to the research students/scholars;
- D) Grant leave to Research Scholars, Ph.D. registrations, recommend modification of titles for Ph.D.
- E) Allow change of Supervisors and Doctoral Progress Committee Members
- F) Early submission of Thesis/ dissertation and
- G) Extension of time for submission of thesis / dissertation, all in accordance with university rules and regulations;
- H) On the recommendation of the external reviewers for reviewing the nomination as PhD research supervisors/co-supervisor,
- I) Allocation of Supervisor/s to eligible candidates in order of merit;
- J) Appointment and Allocation of Co-Supervisor;
- K) Appointment and Allocation of Doctoral Progress Committee for each enrolled Research Scholar;
- L) Recommend examiners/ subject experts for entrance examination, research proposal presentation, course work etc.,
- M) Consider such other issues relating to research as may be referred to it by the Provost or any other Authority of the University.

4.3) Doctoral Progress Committee (DPC)

- 4.3.1) There shall be a DPC for each candidate/ Research Scholar constituted by the Provost based on the recommendation of DRC/DRCs-

4.3.2) Constitution of Doctoral Progress Committee (DPC)

- A) Research Supervisor shall be the Member Convener of this Committee
- B) Two domain experts, other than the Supervisor, will act as DPC Members.
- C) The recommended domain expert shall possess Ph.D. degree
- D) The recommended domain expert shall possess at least two years of research experience and under his/her supervision at least two PhD scholars have completed their PhD Research in the area relevant.
- E) Co-Supervisor(s) (if any) shall be the Joint Member Convener
- F) The term of office of all the members will be co-terminated with the deposition of Ph.D. thesis by the Research Scholar.
- G) Any person nominated in a vacancy occurring before the expiry of the original term, subject to other provisions, is a member of the committee only for the residuary period for which the person whose place he has filled would have been a member.



4.3.3) *Duties and Functions of DPC*

- A) DPC shall register them to the university immediately after its notification on the University's website.
- B) DPC shall guide the candidate to develop the study, design and methodology of research and design a CORE SUBJECT [VDUPHD03].
- C) DPC shall be responsible to review and examine the Research Scholar for the CORE SUBJECT [VDUPHD03] and SEMINAR [VDUPHD04] of the Coursework and submit its report/result/marks in hard copy in the prescribed University's format.
- D) DPC shall be responsible to review the research proposal and finalize the topic of research before submitting Final Registration form to the University.
- E) DPC shall be responsible to review, assist and monitor the progress of the Ph.D. work of Research Scholar, either through e-medium (Online) or otherwise; till the submission of Ph.D. thesis by the Research Scholar.
- F) DPC shall submit all evaluation report/reviews in the prescribed format to the University
- G) Consider such other issues relating to research as may be referred to it by the Provost or any other Authority of the University.

4.4) **Viva-Voce Board**

4.4.1) The Viva-Voce Board shall be constituted by the Provost for each Research Scholar soon after receipts of favorable reports from adjudicators.

4.4.2) Board of Examinations, constituted for adjudication of the thesis, shall constitute the *Viva-Voce Board*.

- A) Constitute of Viva-Voce Board
- B) Research Supervisor shall be the Convener
- C) Co-Supervisor (If Any) shall be Co-Convener
- D) Identified Adjudicator 1 shall be the member
- E) Identified Adjudicator 2 shall be the member
- F) In case of unavailability of (D)/(E) above the Provost shall appoint additional Adjudicators.

4.4.3) *Duties and Functions of Viva-Voce Board*

- A) The board shall conduct the final Viva-Voce of the Research Scholar to defend the Ph.D. thesis and shall primarily be designed to test the understanding of the Research Scholar on the subject matter of the thesis and the competence in the general field of study.
- B) The board shall ask the Research Scholar to make a brief presentation and the Viva-Voce will end when all the questions raised by the board and audience are answered.



- C) The board shall submit its detailed report in the prescribed format to the University.
- D) The board shall conduct the Viva-Voce in the mode, at a place and time decided by the University.
- E) Consider such other issues relating to research of the Research Scholar as may be referred to it by the Provost.

4.5) Other Boards and Committees

- 4.5.1) The Provost may constitute other Boards/Committees in connection with other specific requirements in connection with the Ph.D. Program.
- 4.5.2) The duties/responsibilities and other details of these Boards/Committees shall be notified by the University as and when they are constituted.

5) Ph.D. Programs

- 5.1) The University shall permit the registration for Ph.D. Program in the following Faculties-
 - 5.1.1) Faculty of Education
 - A) Education
 - 5.1.2) Faculty of Engineering and Technology
 - A) Civil
 - B) Mechanical
 - C) Electrical
 - D) Computer
 - 5.1.3) Faculty of Science
 - A) Chemistry
 - B) Physics
 - C) Mathematics
 - D) Microbiology
 - 5.1.4) Faculty of Commerce and Management
 - A) Commerce
 - B) Management
 - 5.1.5) Faculty of Computer and Information Technology
 - A) Computer Applications
 - B) Information Technology
 - 5.1.6) Faculty of Physiotherapy
 - A) Physiotherapy
 - 5.1.7) Faculty of Nursing
 - A) Nursing
 - 5.1.8) Faculty of Agriculture



- A) Agriculture
 - 5.1.9) Faculty of Homeopathy
 - A) Homeopathy
 - 5.1.10) Faculty of Arts, Humanities and Social Science
 - A) Social Work
 - B) Social Science
 - C) Physiology
 - D) History
 - E) Journalism
 - 5.1.11) Faculty of Law
 - A) Criminology
 - B) Penology
 - C) Property
 - 5.1.12) Faculty of Ayurveda
 - A) Ayurveda
 - 5.1.13) Faculty of Pharmacy
 - A) Pharmaceutical chemistry
 - B) Pharmacology
 - C) Pharmaceutics
 - D) Pharmacognosy
 - E) Pharmaceutical analysis
 - 5.1.14) Faculty of Medical and Paramedical Science
 - 5.1.15) Faculty of Skill and Vocational Studies
 - 5.1.16) Interdisciplinary Subject Areas
 - 5.2) It shall also be possible for a candidate to pursue Ph.D. Program in a subject area of inter-disciplinary nature in the same faculty in which the candidate has obtained the Post Graduate degree or across two disciplines/faculty(ies).
 - 5.3) University may include other Faculties and disciplines as and when qualified supervisors and infrastructure facilities are available.
- 6) Eligibility for Admission to the Ph.D. Program**
- 6.1) Candidates who have completed**
- A 1-year/2-semester master's degree Program after a 4-year/8-semester bachelor's degree Program or a 2-year/4-semester master's degree Program after a 3-year bachelor's degree Program OR qualifications declared equivalent to the master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed.

OR

Equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of the educational institution.

- 6.2) Provided that a candidate seeking admission after a 4-year/8-semester bachelor's degree (Honors with Research) Program should have a minimum of 75% marks in aggregate or its equivalent grade on a point scale wherever the grading system is followed.
- 6.3) Candidates who have completed the M.Phil. Program with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed or equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of educational institutions, shall be eligible for admission to the Ph.D. Program.
- 6.4) A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time in criteria Ph.D. 6.1; 6.2; and 6.3.

7) Duration of the Program

- 7.1.1) Ph.D. Program shall be for a minimum duration of three (3) years, including course work, and a maximum duration of six (6) years from the date of admission to the Ph.D. Program.
- 7.1.2) A maximum of an additional two (2) years can be given through a process of re-registration as per the Statute/Ordinance of the Higher Educational Institution concerned; provided, however, that the total period for completion of a Ph.D. Program should not exceed eight (8) years from the date of admission in the Ph.D. Program.
- 7.1.3) Provided further that, female Ph.D. scholars and Persons with Disabilities (having more than 40% disability) may be allowed an additional relaxation of two (2) years; however, the total period for completion of a Ph.D. Program in such cases should not exceed ten (10) years from the date of admission in the Ph.D. Program.
- 7.1.4) Female Ph.D. Scholars may be provided Maternity Leave/Child Care Leave for up to 240 days in the entire duration of the Ph.D. Program.

7.2) Study leave/Employment/Clearances

- 7.2.1) Employed candidates, who take up Ph.D. Program on full time basis, shall produce a "No Objection Certificate" from their respective employer/organization(s) stating that a study leave for a minimum period of three years has been sanctioned as per rules of the employer/organization(s).

- 7.2.2) Employed candidates who take up Ph.D. Program on a part-time basis shall produce a No Objection Certificate issued by employer/ organization(s) that they shall be allowed to avail leave for attending the mandatory coursework and the required laboratory or experimental facility is available at the place of work of the candidate OR shall produce a No Objection Certificate issued by the lab-in charge/an appropriate authority stating that the experiments related to the research of the candidate shall be allowed to be conducted at the lab/research facility.
- 7.2.3) Unemployed candidates who take up Ph.D. Program on a full-time basis shall not be allowed to take up any employment during such period of Ph.D. work. However, they can apply for a scholarship/fellowship/stipend/assistantship, if any, with intimation to the University subject to the recommendation of the Research Supervisor.
- 7.2.4) FN/PIO candidates shall hold valid passport/visa, clearance from MHRD, and other documents as mandated by the Government of India throughout their studentship at the University.

7.3) Inter-Disciplinary Subject Areas

- 7.3.1) Candidate desirous of pursuing a Ph.D. in subject areas of interdisciplinary nature shall fulfill the following eligibility requirements:
- 7.3.2) Candidate shall possess the Post Graduate Degree in any branch/ subject /discipline recognized by the University or any other recognized University/Institution, with a minimum of 55% aggregate marks.
- 7.3.3) Candidate shall qualify the entrance examination, as per University rules, in the branch/subject/ discipline in which the candidate has submitted the application form.
- 7.3.4) Candidate shall remain present at RPP, as per University rules, in which the candidate has submitted the application form.
- 7.3.5) In such cases, the Degree shall be awarded in the Faculty in which the candidate has registered for the Ph.D. Program.
- 7.3.6) Further, if required, Co-Supervisor from appropriate discipline(s) may be assigned on the request of Research Supervisor.

7.4) Others

- 7.4.1) The eligibility of candidate passing their qualifying examination from Universities following the letter grading system will be determined based on percentage equivalent to the letter grade obtained by the candidate according to the conversion formula adopted by the University concerned. In the absence of any such formula, the decision of the University shall be final and binding on the candidate.
- 7.4.2) The eligibility of candidate passing their qualifying examination from a Foreign Educational Institution shall be determined by producing document/ certificate

that the degree obtained by him/her is accredited by an Assessment or Accreditation Agency which is approved under a law in its home country.

7.5) Relaxations

- 7.5.1) Candidates who have obtained Post Graduate Degree or a professional degree declared equivalent to the Post Graduate Degree, in accordance with UGC specification of Degrees, with at least 55% marks in aggregate through the medium of MOOCs available on a SWAYAM platform provided they submit a certificate that the degree has been granted following UGC (Credit Framework for online learning courses through SWAYAM), Regulations, 2016.
- 7.5.2) Candidates who have appeared or are appearing in the final year / semester for qualifying Post Graduate degree examination and whose result is still awaited can also apply. However, such candidates should complete all formalities, viz., written the theory examination, completed practical examination, submitted project reports, completed Viva-Voce examination etc. Such candidates will be admitted provisionally and shall be considered eligible for admission only after they have, obtained Post Graduate degree or equivalent with a minimum of 55 % aggregate marks in Post Graduate Degree.
- 7.5.3) A relaxation of 5% of marks, from (55% to 50%), or an equivalent relaxation of grade, is allowed for those candidates who have obtained their Post Graduate Degree or a professional degree declared equivalent to the Post Graduate Degree before 19th September, 1991.
- 7.5.4) A relaxation of 5% of marks, from (50% to 45%), or an equivalent relaxation of grade, is allowed for those candidates who have obtained their Post Graduate Degree or a professional degree declared equivalent to the Post Graduate Degree and belong to Schedule Caste (SC) / Schedule Tribe (ST) / Socially and Educationally Backward Classes (SEBC) non-creamy layer / Economically Weaker Section (EWS) as notified by the Government of Gujarat.
- 7.5.5) A relaxation of 5% of marks, from (50% to 45%), or an equivalent relaxation of grade, is allowed for those candidates who have obtained their Post Graduate Degree or a professional degree declared equivalent to the Post Graduate Degree and are Differentially abled (not less than 40% disability).

7.6) Exemption from Entrance Test

- 7.6.1) Candidates who have qualified any of the following exams shall be exempted from the entrance test: -
- 7.6.2) Candidates who have qualified in the NET / SLET or other similar tests conducted by such Government bodies as UGC, CSIR, CAR / CMR.
- 7.6.3) Candidates having valid GATE / GPAT/ NATA/CAT.
- 7.6.4) Candidates who apply through Ministries of Government of India/State or candidates under Cultural Exchange Scholarship Program of Government of India.

- 7.6.5) Candidates who are granted fellowship/scholarship from state/central government agencies for pursuing Ph.D.
- 7.6.6) Teacher Fellowship holder.
- 7.6.7) Candidates having M.Phil. Degree from a UGC recognized University and whose admission was done through the Entrance Test.

7.7) Reservation of Seats

- 7.7.1) The University shall adopt the reservation policy of State Government and the guidelines of the University Grants Commission.
- 7.7.2) The University reserves the right to verify the caste certificate used for claim of a seat in reserved category at any point of time or at any stage including after award of degree. If the certificate is found to be false/fake/incorrect, the admission or degree will be cancelled.
- 7.7.3) The seats reserved for SC/ST candidates shall be mutually interchangeable as per UGC norms but not transferable to the general pool.
- 7.7.4) The seats reserved for Differentially-abled candidates shall be mutually interchangeable.

8) Admission Notification

8.1) Notification

- 8.1.1) The University may call for applications for Admissions to the Ph.D. Program twice in an academic year notifying on the website and through advertisement in at least two (2) newspapers, of which one (1) shall be in the regional language. The advertisement on the website will fulfill following criteria:
 - A) Eligibility requirements to be satisfied for getting admitted;
 - B) Number of seats to be filled in a branch/discipline/subject area;
 - C) The procedure to be followed for Ph.D. admissions;
 - D) Entrance examination-dates, centre(s), Syllabus etc., and online application procedure;
 - E) Information on available scholarships, assistantships, if any.
- 8.1.2) Selected candidates shall be provisionally registered for pursuing Ph.D. Program with the approval of the provost in two sessions every year.
- 8.1.3) The University shall launch a special admission drive to fill vacant seats allocated for SC/ST/SEBC (Non-Creamy layer)/Economically Weaker Section (EWS)/Differentially-Abled categories after completion of the process of admission of each session, every year subject to availability of candidates.
- 8.1.4) In Case of Ph.D. Admission Procedure, the Last date of Admission will be the date of declaration of the List of Qualified Candidates who have cleared the University Ph.D. Entrance Test and Research Project Presentation work as per University Norms.

9) Submission of Applications and their Processing

9.1) Applications from the eligible candidates shall be submitted as follows:

- 9.1.1) All eligible candidates satisfying the requirements as mentioned in eligibility requirements shall submit their applications for Ph.D. admission in the prescribed online format and appear for entrance examination (unless exempted).
- 9.1.2) At the time of provisional registration to the University the candidate shall submit the hard copy of the pre-registration form along with all necessary enclosures as specified in the form.

9.2) Functions/Responsibilities of the DRCs for Admission

- 9.2.1) The DRC/DRCs shall act as admission committee for the particular discipline/ sub discipline and shall perform all duties and shall be responsible for:
 - A) Scrutinizing the applications to determine candidate's eligibility, including those eligible for exemption from the entrance test;
 - B) Conducting the entrance test based on the syllabus decided by the University;
 - C) Getting the answer scripts evaluated;
 - D) Deciding the number of candidates to be called for RPP;
 - E) Conducting RPP of eligible candidates;
 - F) Preparation of the discipline/ Faculty and category-wise consolidated merit-list of candidates;
 - G) Recommending examiners for designing Multiple Choice Entrance test question papers for respective discipline(s) including research methodology;
 - H) Recommending examiners for RPP

9.3) Entrance Test Examination

- 9.3.1) Entrance Test Examination shall be a Multiple-Choice Entrance Test comprising of two papers of one hour each.
- 9.3.2) Paper-I shall be research methodology comprising of 50 questions of 1 mark each. [syllabus is available on website]
- 9.3.3) Paper-II shall be subject specific comprising of 50 questions of 1 mark each. [syllabus is available on website]
- 9.3.4) Qualifying marks in Multiple Choice Entrance test shall be 50% for General/ EWS Category candidates.
- 9.3.5) Qualifying marks in Multiple Choice Entrance test shall be 45% for SC/ST/ EBC (Non-Creamy layers) / Differentially- abled category.
- 9.3.6) The weightage of marks obtained in Multiple Choice Entrance test shall be 50% (Fifty percent) for the purpose of creating a discipline/ Faculty and category-wise consolidated merit-list.

- 9.3.7) The list of qualified candidates shall be displayed on the website.
- 9.3.8) The candidates stated at **Ph.D. – 7.6** shall be exempted from appearing in the entrance test.

9.4) Research Proposal Presentation (RPP)

- 9.4.1) RPP shall be organized for all candidates who have qualified entrance test or are exempted from appearing in the entrance test.
- 9.4.2) Attending the RPP, as per the notified schedule, is mandatory.
- 9.4.3) RPP will determine the candidate's aptitude for research before an Expert Committee.
- 9.4.4) During the RPP, candidates shall also present in brief their proposed area of research
- 9.4.5) RPP shall comprise of maximum marks 100 (Hundred marks) having the following distribution-
- A) 40 Marks for relevant content of the research proposal and candidate's competence for the proposed research.
- B) 30 Marks for contribution of proposed research work to new/additional knowledge.
- C) 30 Marks for question answers.
- D) RPP detail guidelines available on website.

9.5) Preparation of Consolidated Merit List

- 9.5.1) The DRC/DRCs shall prepare a consolidated merit list of all the candidates who have appeared for RPP in accordance with UGC, Regulations 2018 and shall be notified on the University's website.

Sr. No.	Category of candidate	Marks obtained in entrance test (having 50% weightage)	Marks obtained in RPP/ (having 50% weightage) Component 2 of merit list	Discipline / Faculty and category wise Consolidated merit list
1	Candidates who have passed the entrance test	Marks obtained in the University's entrance test.	Marks obtained in the RPP	Total marks obtained [Component 1 + Component 2]
2	Candidates who have been exempted from appearing in the entrance test	Marks will be awarded by the DRC/ DRCs. The criteria for calculating marks will be as per Annexure-I	Marks obtained in the RPP	
3	FN/PIO candidates exempted from appearing in the entrance test			

- 9.5.2) Selection for admission to Ph.D. Program shall be subject to availability of vacant seats in order of merit.
- 9.5.3) Candidates belonging to General / EWS category shall have to score greater than (>) 50% marks in the consolidated merit list for being eligible for Ph.D. admission.
- 9.5.4) Candidates belonging to SC/ST/SEBC/Differently-Abled Categories shall have to score greater than (>) 45% marks in the consolidated merit list for being eligible for Ph.D. admission
- 9.5.5) The following shall be applied for determining merit for those candidates who have obtained equal marks in the Discipline / Faculty and category wise Consolidated merit list to break the tie-
 - A) A candidate who has more marks in the Post Graduate degree examination shall rank higher in order of merit.
 - B) If still a tie persists then the candidate who has obtained more marks in the Bachelor degree level examination, shall rank higher in order of merit.
 - C) If both the above cases will not be resolved, candidate senior in age shall rank higher in the order of merit.
- 9.5.6) The Discipline / Faculty and category wise consolidated merit list shall be considered for filling the existing vacancies and the respective special rounds (depending on the availability of such seats) and shall be rendered null and void after the completion of the admission process for an academic year.

10) Admission Procedure

- 10.1) The DRC/DRCs shall submit the Discipline / Faculty and category wise Consolidated merit list to the University for facilitating the provisional registration of candidates as per their rank in the list.
- 10.2) University shall communicate the same merit list on the website.
- 10.3) Upon successful interaction with the proposed Research Supervisor, each candidate shall submit the prescribed pre-registration form to the University for the purpose of provisional registration to the Ph.D. Program. This shall be compulsory for all the candidates.
- 10.4) The DRC/DRCs shall have the authority to decide the allocation of Research Supervisor to the candidate, subject to availability of resources/ laboratories/ expertise etc., intake of candidates under a Research Supervisor, research interest of the Research Scholar. The DRC/DRCs may consider the choice indicated by the candidate in the pre-registration form, if found feasible.
- 10.5) The DRC/DRCs shall finalize and register the provisional candidates along with the appointed Research Supervisor within one month of the declaration of merit list and the same shall be communicated on the University's website.
- 10.6) The DRC/DRCs shall finalize and register provisional candidates in the same faculty in which candidate has appeared for RPP.

- 10.7) Prescribed fees shall be paid by each candidate to the University for completing the provisional registration.
- 10.8) At this stage each Research Supervisor shall recommend domain experts for appointment as DPC members in the University's format within fifteen days of declaration of enrolment number.

11) Ph.D. Coursework

11.1) Academics

- 11.1.1) Provost shall appoint the DPC for each provisionally registered Research Scholar on the recommendation of the DRC/DRCs
- 11.1.2) After provisional registration, the candidate shall undergo following Coursework which shall be considered as first DPC.

Sr. No	Subject Name & Code	Credit	Attendance	Activities	Examination To Be Conducted By	Distribution Of Marks
1	Research Methodology [VDUPHD01]	4	75 % Attendance is mandatory to qualify for appearing in respective subject examination	Classes (Online/ Offline) will be conducted by the university	University	60 Marks (External Examination)
2	Research and Publication Ethics [VDUPHD02]	2				40 Marks (Assignment) Separate for each Subject
3	Core Subject [VDUPHD03]	4		Assignments and Presentation (Online/ Offline)	Research Supervisor & RAC Members can mutually decide a date to conduct the presentation	100 Marks (Presentation)
4	Seminar [VDUPHD04]	2				

- 11.1.3) The DRCs shall recommend names of at least five subject experts for teaching courses on Research Methodology [VDUPHD01] & Research and Publication Ethics [VDUPHD02] to the Provost.
- 11.1.4) Provost shall appoint at least four subject experts each for Research Methodology [VDUPHD01] & Research and Publication Ethics [VDUPHD02] subjects.
- 11.1.5) Depending on the availability of the subject expert the University shall schedule the classes of Research Methodology [VDUPHD01] & Research and Publication Ethics [VDUPHD02] subjects which will be notified on the University's website.

- 11.1.6) The prescribed Coursework should be completed within a span of maximum of two semesters / one year from the date of generation of enrolment number.
- 11.1.7) Research Scholars who have already completed course work of minimum of 8 credits during M.Phil. can be exempted from appearing in Research Methodology [VDUPHD01] course upon furnishing a certificate, issued by the respective University, clearly specifying that the candidate has successfully cleared/passed Research Methodology course during/pursuing M.Phil. Degree and the awarded marks / grades obtained by the candidate is equivalent to 55% marks and the procedure adopted for awarding it was in accordance with the UGC Regulations, 2016.
- 11.1.8) University will arrange either online or physical class for coursework at one or more of its campus.
- 11.1.9) University shall have the freedom to revise and notify the courses in the coursework.
- 11.1.10) The University shall have the provision to issue Grade card to all the candidates upon completion of the coursework which can be downloaded from the website.

11.2) Setting of Question Papers and Evaluation

- 11.2.1) [VDUPHD01] & [VDUPHD02] external examination component shall be conducted by the University.
- 11.2.2) Question papers of these examinations shall be set by the identified examiner/(s) appointed by the Provost for this purpose from the panel recommended by the DRC/DRCs.
- 11.2.3) Question papers shall revise upon adoption of a new teaching scheme.
- 11.2.4) Question papers shall be designed according to the prescribed syllabus.
- 11.2.5) The format of the Question paper can be brief / descriptive.
- 11.2.6) The duration of the examination for [VDUPHD01] shall be two hours.
- 11.2.7) The duration of the examination for [VDUPHD02] shall be one hour.
- 11.2.8) Provost shall appoint evaluators of the answer scripts which may/ may not be the same as those who designed the respective question paper. Provost can appoint any other expert from the panel of experts recommended by the DRC/DRCs for this purpose or otherwise.
- 11.2.9) The prevailing University's Recheck / Re-assessment rules shall be applicable for recheck and reassessment of answer scripts.

11.3) Norms For Maintaining Provisional Registration

- 11.3.1) After the issue of photo identity card the following norms shall be applicable to all the candidates for maintaining their provisional registration:
 - A) The coursework shall be completed and cleared by each candidate with minimum required marks in each course within two consecutive

coursework examinations conducted by the University from the date of generation of the enrolment number.

- B) In no case the time period for completing the coursework shall exceed four semesters / two years from the date of generation of enrolment number.
- C) The provisional registration of candidates failing to fulfill the above requirements shall be automatically cancelled. Such candidates shall seek provisional registration for Ph.D. a fresh.

11.4) Confirmation of Ph.D. Registration

- 11.4.1) After successful completion of coursework the candidate shall be designated as Research Scholar and the Research Supervisor shall recommend submission of Final Registration to the University.
- 11.4.2) The hard copy of the Final registration form complete in all aspects, along with all enclosures, shall be submitted to the University within thirty days of issue of coursework result which will be reviewed by DRC/DRCs.
- 11.4.3) At this stage the Research Scholar shall be issued a Final Registration Certificate specifying the validity of Ph.D. registration and the allocated research topic.

11.5) Conversion of Ph.D. Admission Category from Part-Time to Full-Time and Vice-Versa

- 11.5.1) Change of category from full-time to part-time is not permitted.
- 11.5.2) Change of category from Part-time to Full-time shall be permitted only once in the entire duration of registration for those research scholars who have been issued Final Registration Certificate by the University.
- 11.5.3) Application for change of category shall be submitted in the University's format at the beginning of a session and shall be recommended through respective DPC.
- 11.5.4) Research Scholars shall submit the following for consideration of such application by DRC/DRCs-
- 11.5.5) No Objection Certificate from the place of work of Research Supervisor stating that the required research facility shall be made available to the research scholar.
- 11.5.6) No Objection Certificate/Order/Letter from employer/organization(s) stating that the Research Scholar has been granted a study leave of minimum period of two years OR Research Scholar shall submit an undertaking to the effect that he/she shall not undertake any employment for a minimum period of two years except those mentioned at Ph.D. 7.2.3

11.6) Change of Place of Research / Research Centre

- 11.6.1) The Research Scholars shall have the possibility to shift from a place of research/ research Centre to another due to reasons like, change of research supervisor, requirement of new/additional equipment / instruments / apparatus and need for special facilities in the case of women candidates.

- 11.6.2) In all such cases the DRC / DRCs shall recommend its decision to the Provost. The decision of the Provost shall be final and binding.

12) Recognition / Functioning of Research Supervisor & Co-Supervisor

12.1) Procedure for Recognition & Continuation

- 12.1.1) University shall round the year invite applications, from full time regular faculty members, for being recognized as Research Supervisors.
- 12.1.2) Application sent to the DRC. DRC will identify the panel of reviewer in the field of research supervisor. Out of the panel the provost will identify the two reviewer and sent them research supervisor application for the recommendation for being Research supervisor.
- 12.1.3) Upon the receipt of positive report of both the reviewer, the DRC approve the application for being Research supervisor.
- 12.1.4) List of Approved candidates who have been recognized as Research Supervisors shall be notified on the website.
- 12.1.5) Each Research Supervisor shall adhere to the University's Rules & Regulations.
- 12.1.6) Upon recognition, a Research Supervisor shall become eligible to supervise the candidates/ Research Scholars of the University.
- 12.1.7) Research Supervisor shall be eligible to supervise candidates/Research Scholars according to the Ph.D.-13.
- 12.1.8) Research Supervisor shall not be recognized by the DRC/DRCs if he /she has only three years of service left.
- 12.1.9) Research Supervisor can also act as a Co-Supervisor upon the recommendation of the respective DPC and subsequent recommendation of DRC/DRCs to supplement the expertise on a research topic and approval of Provost.
- 12.1.10) Research Supervisor shall recommend name of Co-Supervisor (if required) and shall submit the due No objection certificate to this effect.
- 12.1.11) Co-Supervisor shall be appointed by the University upon the recommendation of the respective Research Supervisor or DPC and subsequent recommendation of DRC/ DRCs to supplement the expertise on a research topic and approval of Provost.
- 12.1.12) Co-Supervisor can be appointed from any other Department / Faculty / School / College which is under the control /jurisdiction of this University.
- 12.1.13) Co-Supervisor can be appointed from any other Institution/ University/ Department / Faculty / College/ Research Organization / Laboratory / Industry which is not under the control /jurisdiction of this University.

12.2) Eligibility for Recognition as Research Supervisors

- 12.2.1) Permanent faculty members working as Professor/Associate Professor of the Higher Educational Institution with a Ph.D., and at least five research publications in peer-reviewed or refereed journals and permanent faculty

members working as Assistant Professors in Higher Educational Institutions with a Ph.D., and at least three research publications in peer-reviewed or refereed journals may be recognized as a Research Supervisor in the university where the faculty member is employed or in its affiliated Post-graduate Colleges/institutes. Such recognized research supervisors cannot supervise research scholars in other institutions, where they can only act as co-supervisors. Ph.D. awarded by a university under the supervision of a faculty member who is not an employee of the university or its affiliated Post-graduate Colleges/institutes would be in violation of these Regulations.

- A) For Ph.D. scholars working in Central government/ State government research institutions whose degrees are given by Higher Educational Institutions, the scientists in such research institutions who are equivalent to Professor/ Associate Professor/ Assistant Professor can be recognized as supervisors if they fulfill the above requirements.
 - B) Provided that in areas/disciplines where there is no, or only a limited number of peer-reviewed or refereed journals, the Higher Educational Institution may relax the above condition for recognition of a person as Research Supervisor with reasons recorded in writing.
 - C) Co-Supervisors from within the same department or other departments of the same institution or other institutions may be permitted with the approval of the competent authority.
 - D) Adjunct Faculty members shall not act as Research Supervisors and can only act as co-supervisors.
- 12.2.2) In case of interdisciplinary/multidisciplinary research work, if required, a Co-Supervisor from outside the Department/School/ Centre/College/University may be appointed.
- 12.2.3) An eligible Professor/ Associate Professor/ Assistant Professor can guide up to eight (8) / six (6) / four (4) Ph.D. scholars, respectively, at any given time.
- 12.2.4) In case of relocation of a female Ph.D. scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the Higher Educational Institution to which the scholar intends to relocate, provided all the other conditions in these Regulations are followed, and the research work does not pertain to a project sanctioned to the parent Institution/Supervisor by any funding agency. Such scholar shall, however, give due credit to the parent institution and the supervisor for the part of research already undertaken.
- 12.2.5) Faculty members with less than three years of service before superannuation shall not be allowed to take new research scholars under their supervision. However, such faculty members can continue to supervise Ph.D. scholars who are already registered until superannuation and as a co-supervisor after superannuation, but not after attaining the age of 70 years.

12.3) Duties and Functions of a Research Supervisor and Co-Supervisor

- 12.3.1) Research Supervisor shall declare the number of vacant seats available with them in specific Faculty/ discipline in which they are recognized.
- 12.3.2) Research Supervisor shall recommend names and submit details of at least five domain experts fulfilling the following criteria(s) relevant to/with the research topic of a particular Research Scholar
 - A) The recommended domain expert shall possess Ph.D. degree
 - B) The recommended domain expert shall possess at least two years of research experience and under his/her supervision at least two PhD scholars have completed their PhD Research in the area relevant.
- 12.3.3) In the case of interdisciplinary subject area(s), a Research Scholar may have a Co-supervisor.
- 12.3.4) Research Supervisor, who is a Member Convener of the DPC in consultation with Co-Supervisor (if appointed) and both appointed DPC members shall send a request for conduction of DPC review to Ph.D. section of the university through Supervisor and inform the Research Scholar through email.
- 12.3.5) Research Supervisor shall convene at the most two DPC reviews in a year having at least a minimum gap of 6 months between two such consecutive reviews. All such requests should be made to Ph.D. section of the university.
 - A) Research Supervisor shall convene the DPC review meeting either through any e-medium or in-person as per University's rule.
 - B) If the DPC review is conducted in-person then such a review should either take place at University on the same date as intimated.
- 12.3.6) DPC Member will submit their inputs within 3 working days through email to the concerned Research Supervisor.
- 12.3.7) The Research Supervisor shall immediately inform the University if a scheduled DPC review could not take place due to any reason. The Research Supervisor shall reschedule the DPC review of a respective scholar by intimating the new date through e-mail. Upon approval by the University the same date shall be intimated to Research Scholar.
- 12.3.8) Research Supervisor shall inform the Research Scholar through e-mail to submit the progress report to all the members of the DPC before ten days of the scheduled DPC review. This progress report should contain the progress/achievements made during the period under review and plan(s) for the rest of the Program.
- 12.3.9) Research Supervisor and/or the Research Centre shall maintain attendance and leave records of all full-time research scholars allotted under his/her Supervision.
- 12.3.10) Research Supervisor shall apprise the Research Scholar to clearly state sources from where the information is derived, to obtain animal and / or human ethical

approvals or any other approval(s) as may be required from any statutory body / bodies for the progress of their research work.

- 12.3.11) Research Supervisor shall be responsible to inform the Research Scholar about the extent to which the work of others can be made use of, self- plagiarism and the portion of the work the researcher claims as original.
- 12.3.12) Research Supervisor shall inform the Research Scholar that not attending the scheduled DPC review(s) will extend the duration of the Ph.D. course.
- 12.3.13) Research Supervisor shall inform the Research Scholar that consecutively not attending three scheduled DPC review(s) shall render the Research Scholar liable for cancellation of his/her registration.
- 12.3.14) Research Supervisor shall recommend and certify all application(s) or any other document(s) or request pertaining to research or any other related Ph.D. Program activity before forwarding it to the University.
- 12.3.15) Research Supervisor shall be responsible for mentioning whether the progress is satisfactory / accepted & Research Scholar shall be allowed to continue or progress with his/her research in the next term/semester or unsatisfactory /not-accepted & Research Scholar shall be allowed to redo his/her research in the next term/semester.
- 12.3.16) Research Supervisor shall provide a copy of DPC review card to the Research Scholar as early as possible or within a maximum of five days from the conduct of such review.
- 12.3.17) Research Supervisor shall immediately inform the University and request for replacement, in the University's format, where any or both the appointed
 - A) DPC member is/are declining to continue to review the progress of Research Scholar
 - B) DPC member has/have shifted or are stationed outside Gujarat, at a later stage.
 - C) DPC member is/are terminally ill and is/are unable to continue to review, assist and monitor the progress of Research Scholar.
 - D) DPC member against whom any sort of disciplinary/ misconduct action(s) has been initiated by any agency.
 - E) Such application for replacement of DPC members shall be processed by the DRC/DRCs and recommended for approval by the Provost.
- 12.3.18) Research Supervisor shall immediately inform the University and request for replacement of a Co-Supervisor and submit due No Objection Certificate to this effect, as per University rules, for removal / change of Co-Supervisor for a Research Scholar citing valid reasons. Such application shall be processed by the DRC / DRCs and recommended for approval by the Provost.

- 12.3.19) No Research Supervisor/Co-Supervisor shall supervise his/her close relation. The term "Close Relation" includes father, mother, wife, husband, son, daughter, sister, brother, nephew, niece, grand-son, grand-daughter, grand-nephew, grand-niece, uncle, aunt, first cousin, son-in-law, daughter-in-law, brother-in-law, sister-in-law, father-in-law, mother-in-law of the Supervisor and also the nephew, niece, grand-nephew, grand-niece of Supervisor's wife.

13) Intake / Seat Allocation for Research Supervisors and Co- Supervisors

- 13.1) Research Supervisor who is a Professor having at least five publications shall not undertake to offer supervision for a maximum of eight (8) Research Scholars including Part-time scholars.
- 13.2) Research Supervisor who is an Associate Professor having at least three publications shall not undertake to offer supervision for a maximum of six (6) Research Scholars including Part-time scholars.
- 13.3) Research Supervisor who is an Assistant Professor having at least two publications shall not undertake to offer supervision for a maximum of four (4) Research Scholars including Part-time scholars.
- 13.4) In case of promotion of a Research Supervisor the intake / seat allocation will be revised accordingly upon submitting due documents, in the University's format, for scrutiny by the DRC.
- 13.5) Research Supervisor shall not declare any intake/vacant seats if he/she has less than three years of service left before the prescribed age of retirement from the College / Centre / School / Department / institution / Recognized Research Centre concerned at the time of declaration / start of the admission process for Ph.D. admission.
- 13.6) DRC shall allocate Research Supervisors to the selected candidates.
- 13.7) Research Supervisor may decide on the number of seats that he/she may like to fill in a particular year within his/her permissible strength. However, the University reserves the right to allocate more/less number of candidates to a Research Supervisor upon the recommendation of DRC and approval of the Provost.
- 13.8) Research Supervisor should not take into consideration those Research Scholars while declaring the number of vacant seats available with them for a particular academic year who have been issued provisional certificate for award of Ph.D. degree.
- 13.9) Co-Supervisor who is also a recognized Research Supervisor of this University can co-supervise a maximum of two Research Scholars.
- 13.10) Co-Supervisor who is a recognized Research Supervisor of any other University can co-supervise a maximum of two Research Scholars.
- 13.11) Co-Supervisor who is not a recognized Research Supervisor can co-supervise a maximum of two Research Scholars

14) Appointment of In-Charge Research Supervisor

14.1) Procedure to be followed where Research Supervisor resigns or shifts to another University/ College during PhD Studies.

- 14.1.1) An alternate Research Supervisor shall be appointed by the Provost upon the recommendation of the DRC.

Note: A No Objection letter from alternate Research Supervisor, should specify that he/she shall continue to supervise the Research Scholar on the present research topic and will ensure that proper recognition/acknowledgement etc. shall be imparted to the allotted Research Supervisor.

- 14.2) In case of death / disability / any other condition(s) which renders a Research Supervisor unfit for the conduct of his/her duties, an alternate Research Supervisor shall be appointed by the Provost upon the recommendation of the DRC and shall take care of all administrative and research responsibilities of the candidate/ Research Scholar.

Note 1: For those Research Scholars where a change of research title is recommended by alternate Research Supervisor, the application for change of research title shall be processed first, if final registration form/ certificate has been submitted/issued, and then the alternate Research Supervisor can conduct a Self-Study course on the new research title, if required, and shall be treated as an additional Self-Study course. This additional self-study course shall be submitted to the University.

Note 2: If the Research Scholar has successfully cleared the coursework then the additional Self-Study course result shall not affect the coursework result of the Research Scholar.

14.3) Handling of Conflict

- 14.3.1) In case of receipt of request letter stating conflict between the Research Supervisor and/or Co-Supervisor and/or in-charge Research Supervisor and the Research Scholar, from either or both the parties the matter shall be referred to the Provost. The decision of the Provost shall be final and binding in this regard.

15) De-Recognition of Research Supervisor(S) / Co-Supervisor(S)

15.1) Provost shall de-recognize a recognized Research Supervisor on account of

- 15.1.1) A Research Supervisor shall be de-recognized who seeks fresh registration of candidates under his/her supervision whose superannuation or term of leaving service is up to or less than three years.
- 15.1.2) Communication in any form with the Thesis adjudicators by the Research Supervisor/Co-Supervisor after the submission of Synopsis/ Thesis by the Research Scholar in connection with the evaluation report shall lead to withdrawal of the Research Supervisorship for a period of three years and they shall be debarred from supervising the existing Research Scholars of the University till such period.
- 15.1.3) Once recognized as Research Supervisor of this University, a Research Supervisor shall not seek/obtain Supervisorship from any other University. However, the

recognized Research Supervisors can function as Co-Supervisor for scholars working in association with other reputed Universities/ institutions / Research & Development laboratories which have Memorandum of Understanding with Vidhyadeep University.

- 15.1.4) Any violation of Ph.D. regulations by the Research Supervisor/ Co-Supervisor shall lead to withdrawal of the Supervisor ship either permanently or for a maximum period of three years and they shall be debarred from guiding the existing scholars in the University till such period.

16) Periodic Review by The Doctoral Progress Committee

16.1) Procedure for Review

- 16.1.1) Each Research Scholar who was declared successful in the Ph.D. Coursework examination shall submit a Final Registration form, in the University's format, recommended through the Research Supervisor. Only after the issue of Final Registration Certificate, the Research Scholar shall be allowed to progress in his/her research work.
- 16.1.2) For each review the Research Scholar must submit a minimum 4 page or a maximum 10-page progress report to the Supervisor, Doctoral Progress Committee (DPC) members and Co-Supervisor (if appointed) before 10 days of the scheduled DPC review through email.
- 16.1.3) Research Scholar shall appear before the DPC once in every six months and make a presentation of the progress of his/her work for evaluation and guidance.
- 16.1.4) Research Supervisor shall submit all requests for conduct of DPC to the university after obtaining consent of all other members of the DPC.
- 16.1.5) Once the date of conduct of DPC is approved by the University, the Research Supervisors shall conduct the DPC review.
- 16.1.6) DPC shall review, assist and monitor the progress of the Ph.D. work of Research Scholar till the submission of the thesis.
- 16.1.7) Research Supervisor shall be responsible to submit all records of the DPC to the University within fifteen days from the day of convening such meetings.

16.2) Maintenance of Registration

- 16.2.1) All enrolled candidates shall deposit their due semester tuition fee between 1st - 20th January and between 1st to 20th July every year for continuation of their registration till the completion of pre-submission seminar or cancellation of registration as the case may be along with other fee(s) as notified.
- 16.2.2) Upon non-payment of respective semester tuition fee a penalty shall be levied.

Penalty to be paid from 21st January to 28th February	Respective Semester Fees + INR 1000/- (Penalty)
Penalty to be paid from 1st March to 31st March	Respective Semester Fees + INR 2000/- (Penalty)

Penalty to be paid from 1st April to 30th April	Respective Semester Fees + INR 3000/- (Penalty)
Penalty to be paid from 1st May to 31st May	Respective Semester Fees + INR 4000/- (Penalty)
Penalty to be paid from 1st June to 30th June	Respective Semester Fees + INR 5000/- (Penalty)
Penalty to be paid from 21st July to 31st August	Respective Semester Fees + INR 1000/- (Penalty)
Penalty to be paid from 1st September to 30th September	Respective Semester Fees + INR 2000/- (Penalty)
Penalty to be paid from 1st October to 31st October	Respective Semester Fees + INR 3000/- (Penalty)
Penalty to be paid from 1st November to 30th November	Respective Semester Fees + INR 4000/- (Penalty)
Penalty to be paid from 1st December to 31st December	Respective Semester Fees + INR 5000/- (Penalty)

- 16.2.3) The paid respective semester tuition fee, after generation of enrollment number or starting of the teaching/Course work, shall neither be refunded nor adjusted in any case as per UGC norms on Refund of Fees, October 2018.
- 16.2.4) Research Scholar shall pay INR 15000/- (Fifteen Thousand only) as Thesis Evaluation fee at the time of submission of Synopsis.
- 16.2.5) If an initial extension of one year in two slots of six months each is granted to the Research Scholar then the Research Scholar shall be liable to pay the applicable semester fee for each such extension.
- 16.2.6) If an additional extension of one year in two slots of six months each is granted to the Research Scholar then the Research Scholar shall be liable to pay double the applicable semester fee for each six months extension to a maximum period of one year.
- 16.2.7) For re-submission of revised thesis on account of major modification the Research Scholar shall be liable to pay a processing fee of INR 1000/- per month till such re-submission, as per the extension granted. This processing fee shall be over and above the Thesis Evaluation fee and shall be paid to the University at the time of re-submission of revised thesis after incorporating corrections/revisions indicted by the Adjudicator(s).
- 16.2.8) Women and Differently-Abled Research Scholars who have been allowed to avail relaxation shall be liable to pay the applicable semester fee.
- 16.2.9) Women Research Scholars who have been allowed to proceed on Maternity/Child care leave shall be liable to pay the applicable semester fee.

- 16.2.10) University reserves the right to revise the tuition fee or any other fee from time to time and/or before the start of next semester.
- 16.2.11) If the candidate chooses to withdraw from the program, he/she has to submit the admission cancellation form with necessary documents.

16.3) Modification Of Research Title

- 16.3.1) If at a later stage, while progressing with the research work the Research Scholar and DPC concludes that the research title assigned in Final Registration Certificate cannot be pursued any further;
- 16.3.2) Research Scholar and DPC can submit a request for modification of the research title stating/justifying their request for such change, in the University's format with prescribed fees for the title modification.
- 16.3.3) Such request for modification of research title shall be processed only once during the entire period of registration in the Ph.D. Program.
- 16.3.4) Research Supervisor shall be responsible for conducting at least one DPC review with modified research title, if such a request has been accepted by the University before proceeding ahead with Pre-Submission Seminar.
- 16.3.5) The Provost upon specific recommendation of the DRC may allow for such modification.

16.4) Minimum Period for Submission of Thesis by Full-Time Research Scholars

- 16.4.1) Research Scholars enrolled for the Ph.D. degree shall normally be eligible for submission of the Thesis after a minimum period of three years upon completion of at least six successful DPC reviews including coursework from the date of generation of enrolment, subject to fulfilment of all other prescribed requirements.
- 16.4.2) DPC after considering the progress made by the Research Scholar may recommend to the Research Scholar to take steps to submit the Synopsis to the University first followed by the Thesis within the validity period.

16.5) Minimum Period for Submission of Thesis by Part-Time Research Scholars

- 16.5.1) Research Scholars enrolled for the Ph.D. degree shall normally be eligible for submission of the Thesis after a minimum period of four years and upon completion of at least eight successful DPC reviews including coursework from the date of generation of enrolment, subject to fulfilment of all other prescribed requirements
- 16.5.2) Research Scholars enrolled for the Ph.D. degree and having successfully completed M.Phil. degree shall normally be eligible for submission of the Thesis after a minimum period of three years and upon completion of at least six successful DPC reviews including coursework from the date of generation of enrolment, subject to fulfilment of all other prescribed requirements

- 16.5.3) DPC after considering the progress made by the Research Scholar may recommend to the Research Scholar to take steps to submit the Synopsis to the University first followed by the Thesis within the validity period.

16.6) Cancellation of Ph.D. Registration

- 16.6.1) Ph.D. registration of the research scholar is liable to be cancelled for any of the following reasons:
- A) Violation of discipline, conduct rules of the University/ Research Centre.
 - B) Registration of that Research Scholar shall be automatically cancelled if he/she fails to submit the Synopsis of the Thesis within 06 years from the date of generation of enrolment if extension is not sought/granted.
 - C) Non-conformity with the rules/regulations of the Program.
 - D) Submitting false information in application/admission/ registration form(s).
- 16.6.2) DPC shall be free to recommend the cancellation of Ph.D. registration of the Research Scholar if consistent lack of progress in research is observed on account of-
- A) Three consecutive DPC reviews are not adjudged to be satisfactory
 - B) Three consecutive DPC reviews are not attended by the Research Scholar
 - C) Before recommending cancellation of registration, the DPC shall issue a show-cause notice to the Research Scholar, seeking his/her explanation.
 - D) If the explanation is not agreed, the Research Scholar shall be free to appeal to the Provost with valid reasons, seeking intervention.
- 16.6.3) The decision of the provost shall be final and binding.

16.7) Revoking of Cancellation Ph.D. Registration

- 16.7.1) After the cancellation of registration, the research scholars can submit the thesis only after getting the cancellation revoked. He/she must initiate this process by submitting the draft thesis, along with a letter to the University furnishing justifiable reasons for the delay in thesis submission. The Research Supervisor should forward this letter and the draft thesis, along with a letter in support of the appeal to the provost. Under some circumstances the cancellation of the Ph.D. registration can be revoked if the reason is agreed upon by Provost considering the circumstances even upon completion of the Maximum Period for Submission of Ph.D. Thesis.

	Cancellation of Registration after	Time period for revocation by Provost*	Time period for revocation by Provost*
Research Scholars	6 years from the date of generation of enrolment number	6 years to 7 years from the date of generation of enrolment number up to a maximum of 1 year	7 years to 8 years from the date of generation of enrolment number in two slots of six months each

	Cancellation of Registration after	Time period for revocation by Provost*	Time period for revocation by Provost*
Women Research Scholars	8 years from the date of generation of enrolment number + 240 days of maternity leave (if availed)	9 years to 10 years from the date of generation of enrolment number up to a maximum of 1 year	10 years to 11 years from the date of generation of enrolment number in two slots of six months each
Differentially-abled Research Scholars	8 years from the date of generation of enrolment number	9 years to 10 years from the date of generation of enrolment number up to a maximum of 1 year	10 years to 11 years from the date of generation of enrolment number in two slots of six months each

- 16.7.2) *The DRC shall recommend such extension(s) to the provost for permitting revocation of Ph.D. Registration.

17) Pre-Submission Seminar

17.1) Eligibility for Pre-submission Seminar

- 17.1.1) Full time Research Scholars who have successfully completed a minimum of six (6) DPCs.
- 17.1.2) Part- time Research Scholars who have successfully completed a minimum of eight (8) DPCs.
- 17.1.3) Part- time Research Scholars (on account of MPhil) who have successfully completed a minimum of six (6) DPCs.
- 17.1.4) Research Scholars should have paid the semester fee up till the current ongoing Semester.

17.2) Procedure for Pre-Submission Seminar

- 17.2.1) Research Supervisor shall request the University at least one month in advance for proceeding ahead with the Pre-Submission Seminar of the Research Scholar, after satisfying all requirements.
- 17.2.2) Pre-Submission Seminar request shall be submitted in the University's format to the university.
- 17.2.3) Pre-Submission Seminar shall be notified on the University's website.
- 17.2.4) Pre-Submission Seminar of the Research Scholar shall be conducted at a place designated by the University.
- 17.2.5) The DPC shall remain present during the Pre-Submission Seminar.
- 17.2.6) Pre-Submission Seminar shall be open for all faculty members, other Research Scholars, members of the academic fraternity and experts.

- 17.2.7) Pre-Submission Seminar shall be arranged with the object of getting feedback(s) and comments/suggestions, for being suitably incorporated into the thesis, under the guidance of the DPC.
- 17.2.8) If the Pre-Submission Seminar could not be conducted due to any reason, then the Research Supervisor should immediately inform the University by e-mail stating reasons for such cancellation.
- 17.2.9) Research Supervisor shall follow the same procedure for rescheduling the Pre-Submission Seminar.

17.3) Pre-Submission Seminar

- 17.3.1) Research Scholar shall present his/ her work before the audience including DPC, demonstrating his/her preparedness to submit the Synopsis to the University along with the mandatory requirement of publication(s) Research Scholar shall be free to submit the Synopsis to the University if the Pre- Submission Seminar is satisfactory.
- 17.3.2) However, if the performance of the Research Scholar in the Pre-Submission Seminar is not- satisfactory, the DPC shall point out deficiencies and shall ask the Research Scholar to repeat the Pre-Submission Seminar within three months
- 17.3.3) The procedure for reappearing for Pre-Submission Seminar shall be the same as Ph.D.-16.2
- 17.3.4) Only on satisfactory performance at this stage, the DPC shall permit the Research Scholar to submit the Synopsis of the Thesis.

17.4) Permission for Submission of Synopsis & Thesis

- 17.4.1) The DPC shall be free to permit a Research Scholar whose performance was satisfactory in the Pre-Submission Seminar to submit the Synopsis & Thesis. DPC shall endorse all report as well as copies of all the publications made during the period when the Research Scholar was enrolled during Ph.D. Program of the University.

18) Publication Requirement

18.1) Mandatory Publication Requirement

- 18.1.1) Research Scholar shall publish a minimum of two research papers in a refereed journal indexed/listed in UGC care List before submission of the Synopsis for adjudication and submit it to university.
- 18.1.2) Research Scholar shall make one paper presentation in conferences / seminars before the submission of the Synopsis for adjudication and submit it to university.
- 18.1.3) These publications should be based on Research Scholar's Ph.D. research work and shall produce evidence for the same in the form of acceptance letter from the publisher or copies of the publication at the time of submission of Synopsis.

- 18.1.4) Research Scholars shall mention Vidhyadeep University as his/her affiliation or constitute institute in all such publication(s) done by him/her under the period of registration in the Ph.D. Program of the University.

19) Submission of Synopsis and Thesis

- 19.1) The Ph.D. program culminates with the submission of a thesis of substantial original research work carried out by the Research Scholar under the guidance of the Research Supervisor. The thesis is expected to be potentially fit for publication and should stand peer reviewed.

19.2) Eligibility for Submission of Synopsis and Thesis

- 19.2.1) Upon successful completion of the Coursework and satisfactory research progress followed by mandatory publications, as prescribed in these regulations, and successful completion of Pre-Submission Seminar, the Research Scholar shall be considered ready for the submission of the Synopsis.
- 19.2.2) DPC after considering the progress made by the Research Scholar may recommend the Research Scholar to take steps to submit to the University the Synopsis first followed by the Thesis.

19.3) Submission of Synopsis

- 19.3.1) Within a maximum of two months from the date of successful completion of Pre-Submission Seminar, the Research Scholar shall submit a soft copy of the Synopsis along with four hard copies to the University forwarded through the Research Supervisor in the prescribed University's format, printed on both sides.
- 19.3.2) The submitted Synopsis shall be forwarded by the Research Supervisor.
- 19.3.3) The Synopsis shall be uploaded on the University's website.
- 19.3.4) Research Scholar shall also pay the prescribed Thesis Evaluation fee.
- 19.3.5) If a patent or any other form of intellectual property is being filed from the Ph.D. research work undertaken by the Research Scholar. The Research Scholar shall be allowed to opt for evaluation of the Thesis only after a Non-Disclosure agreement has been signed by the external adjudicator to maintain confidentiality of proprietary information, in the prescribed University's format.

19.4) Submission of Thesis

- 19.4.1) Within a maximum of six months from the date of submission of the Synopsis, the Research Scholar shall submit a soft copy of the thesis along with four hard copies to the University forwarded through the Research Supervisor in the prescribed University's format, printed on both sides.
- 19.4.2) It shall be submitted to the University through the respective Research Supervisor in four hard copies and a soft copy together with -
- 19.4.3) Application for adjudication of the Thesis
- 19.4.4) Declaration from the Research Supervisor stating that the Research Scholar has fulfilled all requirements.

- 19.4.5) Research Supervisor shall also certify that the thesis submitted is a record of original research work done by the Research Scholar during the period of study under his/her supervision and the submitted thesis shall not contain any material infringing upon the copyright of any individual/organization by submitting a certificate in the prescribed University's format.
- 19.4.6) Research Supervisor shall also ensure that the thesis or any part of the thesis is not a reproduction, in part or whole, of Research Scholar's or Research Supervisor's own previously published work without adequate citation and proper acknowledgement and is not self-plagiarized in any form by submitting an Originality Report Certificate in the prescribed University's format.
- 19.4.7) It shall be ascertained by the Research Supervisor(s) that the information such as text, tables, equations, diagrams, figures, charts, graphs, photographs, taken from the various sources has been cited appropriately by the Research Scholar in the thesis.

20) Anti-Plagiarism Check and Submission of the Thesis

- 20.1) The Research Scholar shall check his/her thesis with any reputed anti-plagiarism software.
- 20.2) Similarities up to 10% shall be allowed.
- 20.3) Common knowledge or coincidental terms, up to fourteen (14) consecutive words shall be allowed.
- 20.4) Similarity checks shall exclude all quoted work with all necessary permission and/or attribution, all references, bibliography, table of contents, preface and acknowledgements and all generic terms, formula, laws, standard symbols and standard equation.
- 20.5) The submitted thesis shall be based on original ideas which shall include abstract, summary, hypothesis, observations, results, conclusions and recommendation(s).
- 20.6) Research Scholar and Research Supervisor shall certify the University's certificate for Originality at the time of submitting the thesis.

21) Adjudication of Ph.D. Thesis

21.1) Panel of Adjudicators

- 21.1.1) The period of six months between the submission of Synopsis and the Thesis by the Research Scholar shall be used by the University for deciding the panel of Adjudicators.
- 21.2) Selection of Adjudicators
 - 21.2.1) Research Supervisor shall forward to the University the proposed adjudicators containing details of seven experts for adjudication of the thesis. The list of proposed adjudicators shall be forwarded to the University along with the Synopsis.

- 21.2.2) Research Supervisor shall ensure that out of the proposed seven experts, two should be from abroad and five should be from the country but not from Gujarat, preferably from institutions of national importance.
- 21.2.3) Provost shall choose two adjudicators from the suggested panel for adjudication of the thesis.
- 21.2.4) Provost may ask the Research Supervisor to re-submit the proposed panel of adjudicators and or may ask the concerned DRC/DRCs to submit the panel of adjudicators for adjudication of a thesis, if required, or may select adjudicators from outside the panel under special circumstances.
- 21.2.5) Registrar/Controller of Examination shall sent invitation letters to the two chosen adjudicators along with a copy of the Synopsis.
- 21.2.6) Their acceptance shall be preferably obtained within one month from the date of invitation before taking any further step.

21.3) Appointment of Adjudicators to Evaluate the Thesis

- 21.3.1) The University shall arrange for the thesis to be evaluated by the Research Supervisor (and jointly by the Co-Supervisor, if any) and two more Adjudicators identified by Provost Ph.D.21.2.3 and 21.2.6.
- 21.3.2) The two adjudicators above shall be appointed by the Provost to adjudicate the submitted thesis.

21.4) Dispatch of copies of Thesis to Adjudicators

- 21.4.1) The University shall send a copy of the Thesis to identified Adjudicator appointed by the Provost for evaluation, with a request to evaluate the thesis and send the evaluation report, as per the prescribed University's format within a period of two months.
- 21.4.2) If the report is not received from the Adjudicator(s) within this period, a reminder thrice in a month to this effect shall be sent to the Adjudicator(s) concerned.
- 21.4.3) If any of the adjudicator does not send the report even after three reminders or does not reply to the communication(s) from the University, the Provost shall identify and appoint another Adjudicator either from the panel or upon recommendation of the concerned DRC/DRCs or outside the panel, if required.

21.5) Receipt of Thesis Reports at the University

- 21.5.1) All the adjudicators shall evaluate the thesis and send their reports as per the format (at least 250 words) independently to the Controller of Examination in the prescribed University's format.
- 21.5.2) The Report shall also include the following:
 - A) A critical review of work carried out by the candidate as expressed in the thesis.
 - B) Suggestions, if any, for modification / further research or analysis required to be done.

- C) A definite recommendation as to whether the thesis has attained the standard for the award of Ph.D. degree or not.
- D) Points to be clarified at the public Viva-Voce.

21.5.3) The Adjudicators shall make any one of the following recommendations that the:

- A) The thesis is accepted as submitted and recommended for public Viva-Voce.

OR

- B) The thesis is accepted with minor revisions, not requiring re-examination and is recommended for public Viva-Voce if the revisions are made under the guidance of Supervisor and DPC members.

OR

- C) The Thesis needs major revision and re-submission and is not recommended for public viva voce at present. I would like to evaluate resubmitted thesis again.

OR

- D) Thesis is rejected.

21.6) Consideration of Thesis Reports

- 21.6.1) On receiving favorable recommendations from both the appointed adjudicators, the University shall convey the same to the Research Supervisor(s) along with copies of the Reports received and request to conduct the final Viva Voce of the Research Scholar.

21.7) Scheduling the final Viva-Voce

- 21.7.1) The composition of the Viva-Voce Board shall be as specified under Ph.D. – 4.4.2.
- 21.7.2) In case, the identified adjudicator informs in writing his / her inability to be present at the Viva-Voce, the Controller of Examination shall get another Adjudicator(s) appointed from the panel of adjudicators or panel recommended by the DRC/DRCs or outside after approval of the Provost.
- 21.7.3) The date/time of the Viva-Voce shall be intimated by the Research Supervisor in consultation with other members of the board, the Research Centre (if any) and the University.
- 21.7.4) The candidate shall provide clarification(s) to all the queries of the Adjudicators satisfactorily at the time of Viva-Voce.

21.8) Major Modification and Resubmission of the Thesis for re-evaluation

- 21.8.1) If any of the external adjudicator gives a definite recommendation that the thesis requires major modification and gives a report, the report shall be sent to the Research Supervisor under intimation to Research Scholar.
- 21.8.2) Research Scholar shall be allowed to re-submit the revised thesis after endorsement by the DPC within a maximum period of three months from the date of sending such report after paying the due processing fee.

- 21.8.3) An extension of another three months can be granted by the Provost upon recommendation of the same by the DPC and the DRC, under exceptional circumstances to resubmit the revised thesis after paying the due processing fee.
- 21.8.4) The University shall send the re-submitted revised thesis to the same external adjudicator for his/her re-evaluation.

21.9) Adjudication of the Thesis

Sr. No.	Adjudicator 1	Adjudicator 2	Decision of the University
1	Thesis is accepted as submitted and recommended for public Viva-Voce.	Thesis is accepted as submitted and recommended for public Viva-Voce.	Accepted for conducting Viva-Voce.
2	Thesis is accepted as submitted and recommended for public Viva-Voce.	Minor revision not requiring re-examination, if the revisions are made.	Accepted for conducting Viva-Voce.
3	Thesis is accepted as submitted and recommended for public Viva-Voce.	Major Modification and re-submission.	Re-submission of thesis for evaluation again by Adjudicator 2. Viva Voce shall only be conducted after Adjudicator 2 gives a favorable recommendation for conduct of Viva-Voce.
4	Thesis is accepted as submitted and recommended for public Viva-Voce.	Rejected	Appointment of Additional adjudicator. If the Additional Adjudicator rejects the thesis will be rejected.
5	Major Modification and re-submission.	Thesis is accepted as submitted and recommended for public Viva-Voce.	Viva Voce shall only be conducted after Adjudicator 1 gives a favorable recommendation for conduct Viva-Voce.
6	Major Modification and re-submission.	Major Modification and re-submission.	Re-submission of thesis for evaluation again to all Adjudicators. Viva Voce shall only be conducted after Adjudicator 1 & 2 gives favorable recommendation for conduct of Viva-Voce.

Sr. No.	Adjudicator 1	Adjudicator 2	Decision of the University
7	Major Modification and re-submission.	Minor revision not requiring re-examination, if the revisions are made.	Re-submission of thesis for evaluation again by Adjudicator 1. Viva Voce shall only be conducted after Adjudicator 1 gives a favorable recommendation for conduct of Viva-Voce.
8	Major Modification and re-submission.	Rejected	Re-submission of thesis for evaluation again by Adjudicator 1. Appointment of Additional Adjudicator. If the Additional Adjudicator rejects the thesis will be rejected.
9	Minor revision not requiring re-examination, if the revisions are made.	Thesis is accepted as submitted and recommended for public Viva-Voce.	Accepted for conducting Viva-Voce.
10	Minor revision not requiring re-examination, if the revisions are made.	Major Modification and re-submission.	Re-submission of thesis for evaluation again by Adjudicator 2 & Viva Voce shall be conducted only after Adjudicator 2 gives favorable recommendation for conduct of Viva-Voce.
11	Minor revision not requiring re-examination, if the revisions are made.	Minor revision not requiring re-examination, if the revisions are made.	Accepted for conducting Viva-Voce.
12	Minor revision not requiring re-examination, if the revisions are made.	Rejected	Appointment of Additional adjudicator. If the Additional Adjudicator rejects the thesis will be rejected.
13	Rejected	Thesis is accepted as submitted and recommended for public Viva-Voce.	Appointment of Additional adjudicator. If the Additional Adjudicator rejects the thesis will be rejected.

Sr. No.	Adjudicator 1	Adjudicator 2	Decision of the University
14	Rejected	Minor revision not requiring re-examination, if the revisions are made.	Appointment of Additional adjudicator. If the Additional Adjudicator rejects the thesis will be rejected.
15	Rejected	Major Modification and re-submission.	Re-submission of thesis for evaluation again by Adjudicator 2. Appointment for Additional Adjudicator. If the Additional Adjudicator rejects the thesis will be rejected.
16	Rejected	Rejected	Thesis be rejected

21.10) Appointment of Additional Adjudicator, if necessary

- 21.10.1) The Thesis shall not be accepted for award of the degree if any one of the adjudicators finds that the research work is not up to the expected standard, and gives a definite recommendation that the thesis be rejected with detailed reasons for the same.
- 21.10.2) In such a case, the Report shall be considered by the Provost to decide on the need to appoint an Additional Adjudicator.
- 21.10.3) An Additional Adjudicator shall be appointed by the Provost from the panel or upon recommendation of the concerned DRC or outside the panel, based on this decision, who shall be invited for this purpose by following Ph.D.-21.2 to Ph.D.-21.4
- 21.10.4) If the Additional Adjudicator, after evaluating the thesis gives a favorable Report, the Controller of Examination shall initiate further steps as per Ph.D.21.5
- 21.10.5) If the Additional Adjudicator also does not approve the thesis, then the thesis shall be rejected.
- 21.10.6) However, it shall be open for the candidate to seek Ph.D. registration at the University, afresh from Ph.D.-11.1

22) Conduct of Final Viva -Voce

22.1) Procedure for Final Viva-Voce

- 22.1.1) The final Viva-Voce shall be conducted by the Viva-Voce Board at a venue declared by the University. The composition of Viva-Voce Board shall be as Ph.D.-4.4.2. The procedure for conducting the Viva-Voce shall be as follows:

- 22.1.2) The Research Supervisor shall intimate the date and time for the Viva-Voce in consultation with the Adjudicators appointed by the Provost, and informs the same to the University and Controller of Examination, in advance.
- 22.1.3) Registrar/Controller of Examination shall notify the date, place, time of the Viva Voce and the same shall be displayed on the website along with the abstract of the thesis.
- 22.1.4) The Research Supervisor can invite members of the teaching staff and other Research Scholars to attend the Viva-Voce.
- 22.1.5) The Research Scholar shall make a brief presentation of the research work carried out highlighting the important findings/conclusions.
- 22.1.6) After the completion of the presentation, the Research Supervisor shall give opportunity to the invitees to seek clarifications, if any, from the Research Scholar.
- 22.1.7) After this, the Board only shall examine the Research Scholar to test the understanding of the subject matter of the thesis and also seek answers to the written queries by the Adjudicators in the Thesis Evaluation Reports, if any. The Research Scholar shall be required to produce all the documents, data and analysis in support of the research work presented in the Thesis.
- 22.1.8) On completing the Viva-Voce, the Board shall submit a report to the Registrar/Controller of Examination indicating clearly whether the performance of the Research Scholar was satisfactory and if so, giving specific recommendation for the award of the Ph.D. degree.
- 22.1.9) In case, the performance of the Research Scholar is not satisfactory, the Board shall report accordingly, giving reasons for the same and recommending another Viva-Voce to be conducted after three months. The University shall arrange another Viva-Voce as required with the composition of the Board unchanged.

22.2) Consolidated Report

- 22.2.1) After successful completion of the Viva-Voce, the Viva-Voce Board shall prepare and forward the Consolidated Report in the prescribed University's format along with duly corrected versions of the thesis in hard and the soft copies to the University.
- 22.2.2) Then the Provost shall issue a notification for the award of Ph.D. degree
- 22.2.3) At this stage, there shall also be a certificate issued by the University and/or respective Research Centre that no arrears/dues are left on/by the Research Scholar.

22.3) Handling of Plagiarism Issues

- 22.3.1) The Provost shall refer the matter to the DRC to investigate into plagiarism issues connected with the Ph.D. thesis submitted to the University, in the case of receipt of complaints either from the adjudicator(s), other individuals, academic community or any other source(s).

- 22.3.2) The DRC shall submit its findings within three months from the date of receipt of such report/complaint submit to the Provost.
- 22.3.3) The University will take disciplinary action on finding of an act of plagiarism against Research Scholar and Research Supervisor depending on the severity of plagiarism.

23) Award of Ph.D. Degree

23.1) Degree Certificates

- 23.1.1) The University shall issue a provisional certificate certifying to the effect that the Research Scholar is found eligible for the award of PhD degree after successfully passing the Viva-Voce examination and obtaining approval of Provost.
- 23.1.2) The University shall award the Ph.D. degree certificate to the candidate during the forthcoming Convocation.
- 23.1.3) Upon the approval by the GB, the University shall issue a Ph.D. degree certificate to the candidate certifying that the degree has been awarded in accordance with the "Vidhyadeep Regulations - Governing the Degree of Doctor of Philosophy 2022".
- 23.1.4) The University shall hold the Non-exclusive license of all the Theses.

24) Depository with UGC and the University

24.1) Submission to UGC and the University

- 24.1.1) Following the successful completion of the evaluation process and announcement of the award of the Ph.D. degree, the University shall submit a final (corrected) soft copy of the Ph.D. thesis to the UGC for hosting the same on its information and Library Network (abbreviated as INFLIBNET), accessible to all the institutions / Universities.
- 24.1.2) The University shall also maintain a repository/ digital repository of such Ph.D. theses in its Library and website.

25) Repeal and Savings

- 25.1) Notwithstanding anything contained in these Regulations, the provisions of any guidelines, orders, rules or regulations in force at the University shall be inapplicable to the extent of their inconsistency with these Regulations.
- 25.2) The University shall issue such orders/instructions, and prescribe such format/procedure, as it may deem fit to implement the provisions of these Regulations.

26) Interpretation

- 26.1) Any question as to the interpretation of these Regulations shall be decided by the Provost, whose decision shall be final and binding.
- 26.2) The University shall have the powers to issue clarifications to remove any doubt, difficulty or anomaly which may arise during the implementation of the provisions of these Regulations.

Annexure - I

Sr. No.	Percentage of Marks obtained in Postgraduate Degree Examination	Indicative letter Grade (following will be adopted by DRC/DRCs if the conversion formula adopted by the concerned University is not submitted by the Candidate)	Indicative CPI following will be adopted by DRC/DRCs if the conversion formula adopted by the concerned University is not submitted by the Candidate)	Criteria for calculating marks for candidates who have been exempted from appearing in the entrance test under - Marks obtained in entrance test (having 50% weightage) Component 1 of the merit list.
1	45% to 50%	E	5 to 5.5	25
2	51% to 60%	D	5.6 to 6.5	30
3	61% to 70%	C	6.6 to 7.5	35
4	71% to 80%	B	7.6 to 8.5	40
5	81% to 90%	A	8.6 to 9.5	45
6	91% to 100%	O	9.6 and above	50

Note: Guidelines for Preparation of Synopsis; Guidelines for Preparation of Thesis will be published separately.